

# Utility-based Resource Management in LTE and LTE-Advanced Considering QoE

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# Agenda

- Introduction
- Utility functions
- Problem formulation and solution algorithms
  1. Traffic shaping, solved Lagrangian method
  2. Centralized radio resources scheduling heuristics
- Simulation results
- Conclusion and outlook

- More than 60% of voice calls and 90% of data traffic take place in indoor environments
- Backhaul of femtocells is bounded to the existing user's broadband (e.g. xDSL)

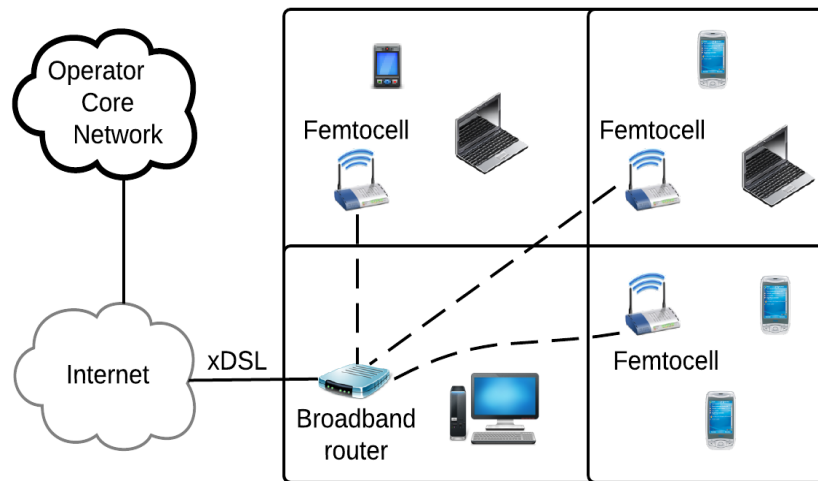


Figure: example of a femtocell cluster

- Traffic shaping can be done at the Core Network per user/bearer level
- The resource allocation can be done for all cells
  - simultaneously in a coordinated manner
  - considers not only the radio resources constraints but also the transport network limitations

- Resource Allocation (RRA): the amount of radio resources allocated to different users
  - Make the best use of limited resources under time varying channel conditions
  - Fairness, latency reduction, spectral efficiency and system utilization
- Utility-based Resource Allocation
  - Utility reflects actual users' perceived performance (QoE)
  - Optimization problem: Maximize the aggregated utility, subject to limited resources

# QoE examples for video traffic

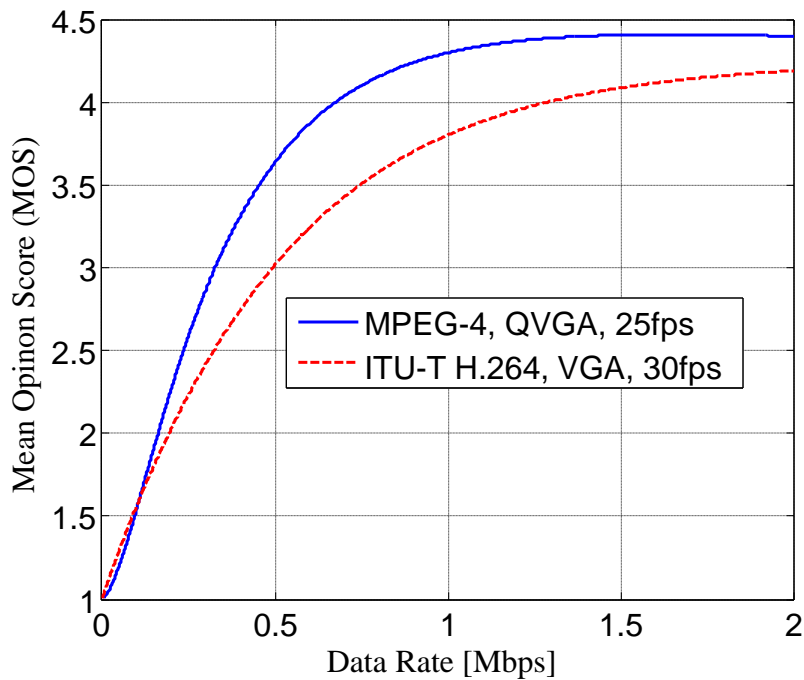


Figure: ITU-T G.1070 [1]

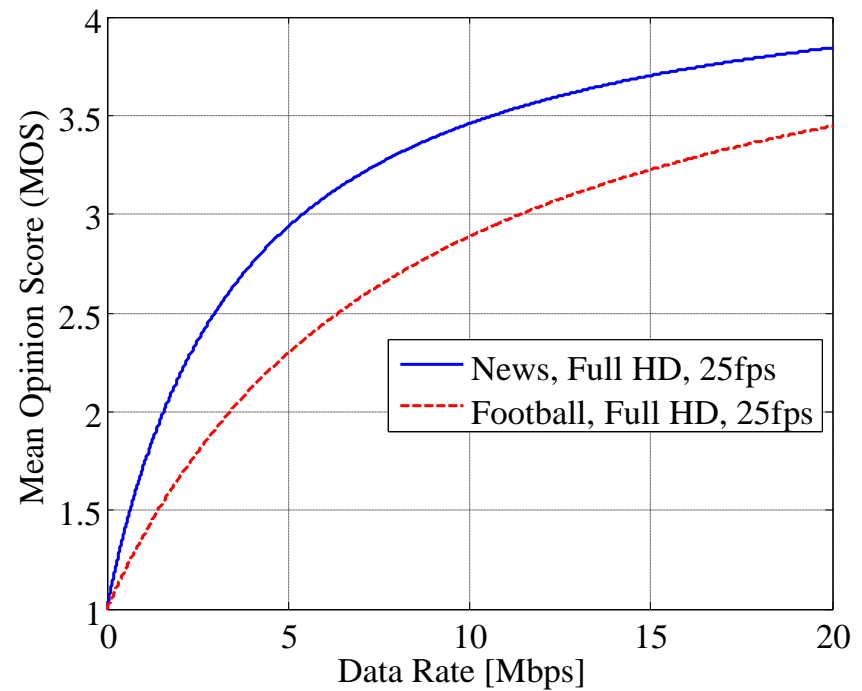


Figure: HD video extending ITU-T G.1070 [2]

# QoE examples for Web traffic

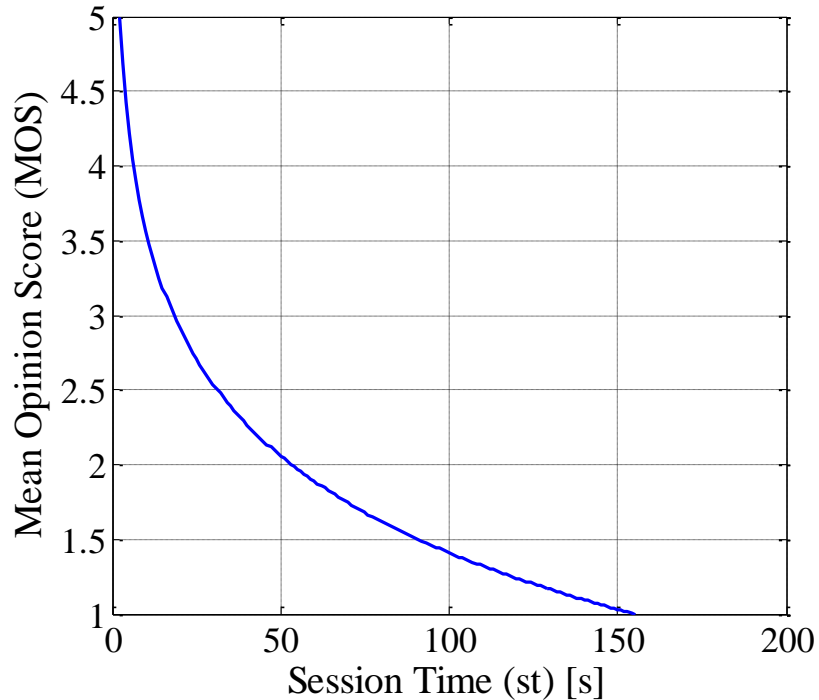


Figure: 60 seconds duration context,  
ITU-T G.1030 [3]

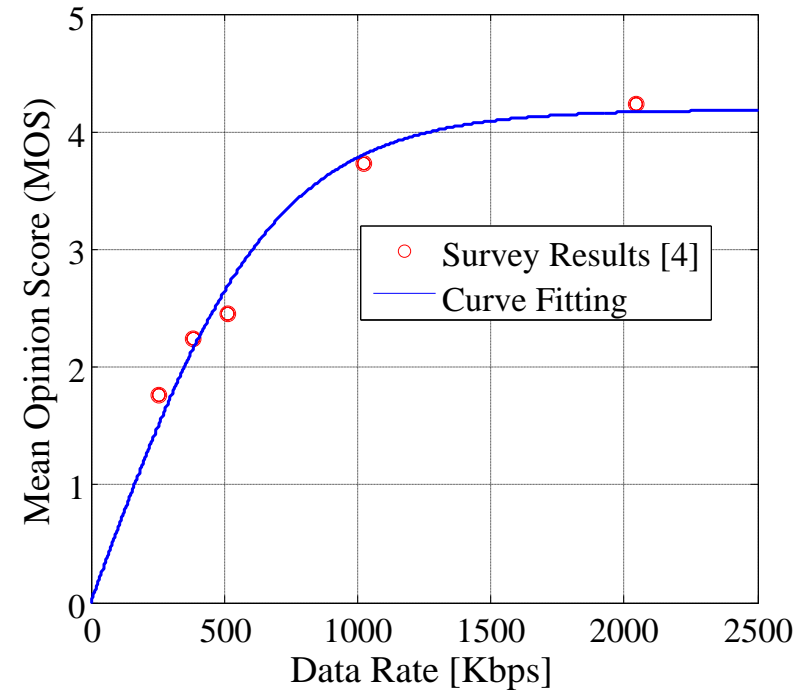
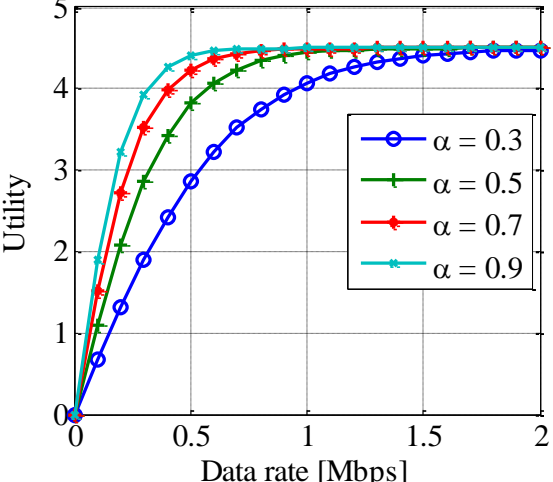
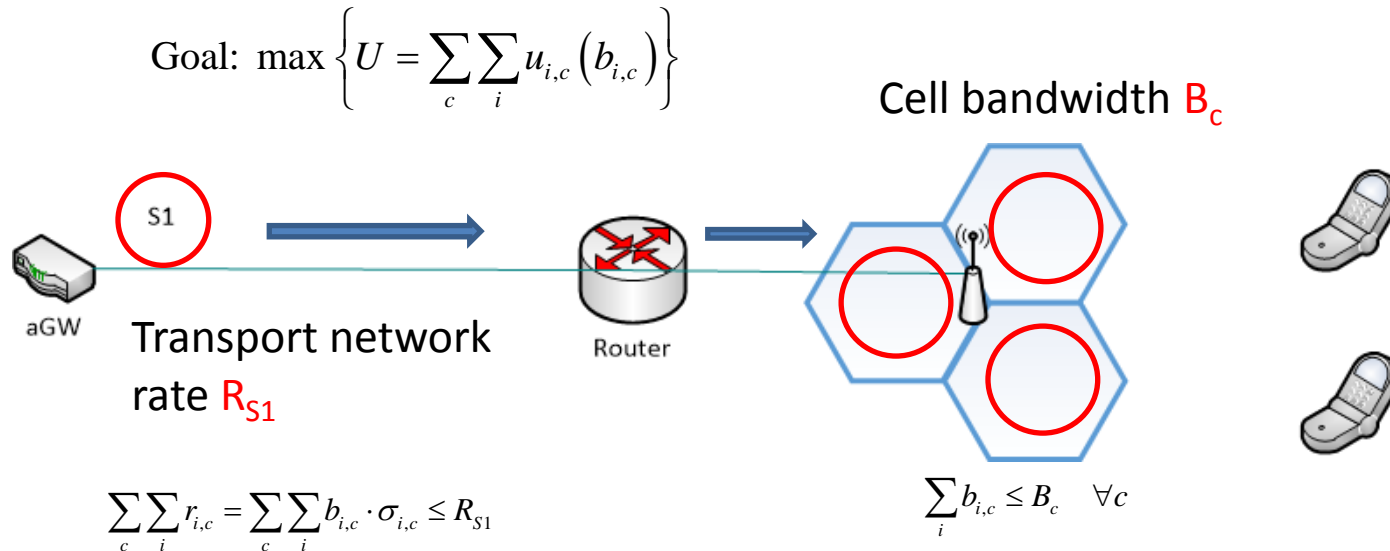


Figure: Curve Fitting for the survey  
results [4]

# Utility functions for nGBR traffics

Applications	Elastic traffics; Video with transcoding
Properties	QoE monotonically increases with the data rate; Marginal QoE monotonically decreases with the data rate
Function Type	Sigmoid Function (Concave part)
Utility function	$u(r) = \frac{A}{1 + e^{-\alpha \cdot r}} + B = \frac{A}{1 + e^{-\alpha \cdot \sigma \cdot b}} + B$
Utility curves	 <p>*with A=9, B=-4.5</p>

# General overview



Case	aGW traffic shaping	Radio scheduler
No S1 bottleneck	-	Optimal algorithm
Only S1 bottleneck	Lagrangian relaxation solved by bisection search	<b>Two heuristics (Centralized/Coordinated MAC scheduler)</b>
<b>Both S1 and some cells are bottleneck</b>	<b>Lagrangian relaxation solved by projected subgradient method</b>	



# Problem formulation

- The utility function of the user  $i$  in cell  $c$ :

$$u_{i,c}(b_{i,c}) = \frac{A}{1 + e^{-\alpha_{i,c} \cdot \sigma_{i,c} \cdot b_{i,c}}} + B = \frac{A}{2} \left[ \tanh\left(\frac{\alpha_{i,c} \cdot \sigma_{i,c} \cdot b_{i,c}}{2}\right) + 1 \right] + B$$

- The goal for the resource allocation is to maximize the aggregated utility, which can be expressed as:

$$\begin{aligned} & \max \left\{ U = \sum_c \sum_i u_{i,c}(b_{i,c}) \right\} \\ \text{s.t.} \quad & \sum_i b_{i,c} \leq B_c \quad \forall c; \quad \sum_c \sum_i b_{i,c} \cdot \sigma_{i,c} \leq R_{S1} \end{aligned}$$

- The problem is convex and has a strong duality, which can be solved optimally using the Lagrangian decomposition method.
  - Hessian matrix positive definite -> Problem is convex
  - Slater's condition fulfilled -> Strong duality holds

# Lagrangian dual problem formulation

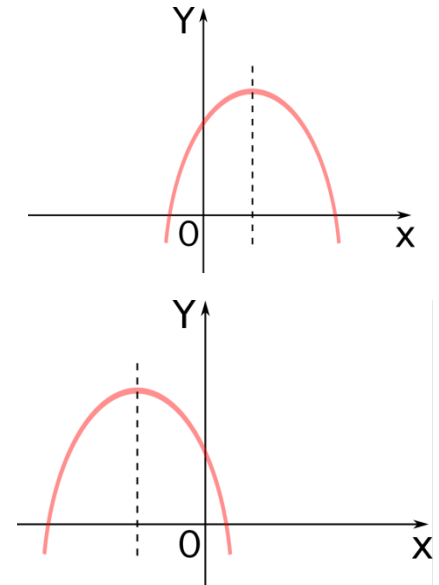
- The Lagrangian dual problem is:

$$f = \min_{\{\lambda\}} \left\{ \max_{\{\mathbf{b}\}} \left\{ \sum_c \sum_i u_{i,c}(b_{i,c}) - \sum_c \lambda_c \left( \sum_i b_{i,c} - B_c \right) - \lambda_0 \left( \sum_c \sum_i b_{i,c} \cdot \sigma_{i,c} - R_{S1} \right) \right\} \right\}$$

$$= \min_{\{\lambda\}} \left\{ \sum_c \sum_i \max_{\{b_{i,c}\}} \left\{ \overbrace{u_{i,c}(b_{i,c}) - (\lambda_c + \lambda_0 \cdot \sigma_{i,c}) b_{i,c}}^{L_{i,c}} \right\} + \sum_c \lambda_c \cdot B_c + \lambda_0 \cdot R_{S1} \right\}$$

- Consider the problem:

$$\max \{L_{i,c}\} = L_{i,c}^* = \begin{cases} u_{i,c}(b_{i,c}^*) - (\lambda_c + \lambda_0 \cdot \sigma_{i,c}) b_{i,c}^* & \text{if } \left. \frac{du_{i,c}(b_{i,c})}{db_{i,c}} \right|_{b_{i,c}=0} \geq (\lambda_c + \lambda_0 \cdot \sigma_{i,c}) \\ u_{i,c}(0) & \text{if } \left. \frac{du_{i,c}(b_{i,c})}{db_{i,c}} \right|_{b_{i,c}=0} < (\lambda_c + \lambda_0 \cdot \sigma_{i,c}) \end{cases}$$



$\forall b_{i,c} \geq 0, L_{i,c}$  is a concave function and has one and only one maximum  $L_{i,c}^*$ . Then the dual problem becomes:

$$f = \min_{\{\lambda\}} \{q\} = \min_{\{\lambda\}} \left\{ \sum_c \sum_i L_{i,c}^* + \sum_c \lambda_c \cdot B_c + \lambda_0 \cdot R_{S1} \right\}$$

$q$  has one and only one minimum, which is also the optimal solution to the primal problem.

# Subgradient projection method

$$f = \min_{\{\lambda\}} \{q\} = \min_{\{\lambda\}} \left\{ \sum_c \sum_i L_{i,c}^* + \sum_c \lambda_c \cdot B_c + \lambda_0 \cdot R_{S1} \right\}$$

s.t.  $\lambda \in \mathbb{R}^+ \cup \{0\}$

- Subgradient projection method is applied
  - an iterative method that starts with some initial feasible vector :  $\lambda^{(0)} \geq 0$

$$\lambda^{(k+1)} = P\left(\lambda^{(k)} - \mathbf{t}^{(k)} \mathbf{s}^{(k)}\right) = \begin{cases} \lambda^{(k)} - \mathbf{t}^{(k)} \mathbf{s}^{(k)} & \lambda^{(k)} - \mathbf{t}^{(k)} \mathbf{s}^{(k)} \geq 0 \\ 0 & \lambda^{(k)} - \mathbf{t}^{(k)} \mathbf{s}^{(k)} < 0 \end{cases}$$

- with modified Polyak's step size

$$\mathbf{t}^{(k)} = \gamma \cdot \frac{f(\lambda^{(k)}) - \hat{f}^{(k)}}{\|\mathbf{s}^{(k)}\|^2} \quad \text{with} \quad \hat{f}^{(k)} = \min_{0 \leq j \leq k} f(\lambda^{(j)}) - \delta$$

$\|\mathbf{s}_k\|$  is the *Euclidean distance*:  $\|\mathbf{s}^{(k)}\|^2 = s_1^2 + s_2^2 + \dots + s_n^2$

- Convergence rule

$$f(\lambda^{(k)}) - \min_{0 \leq j \leq k} f(\lambda^{(j)}) \leq o \quad o : \text{a predefined value regarding to accuracy}$$

# Subgradient projection method

$$f = \min_{\{\lambda\}} \{q\} = \min_{\{\lambda\}} \left\{ \sum_c \sum_i L_{i,c}^* + \sum_c \lambda_c \cdot B_c + \lambda_0 \cdot R_{S1} \right\}$$

$$\text{s.t. } \lambda \in \mathbb{R}^+ \cup \{0\}$$

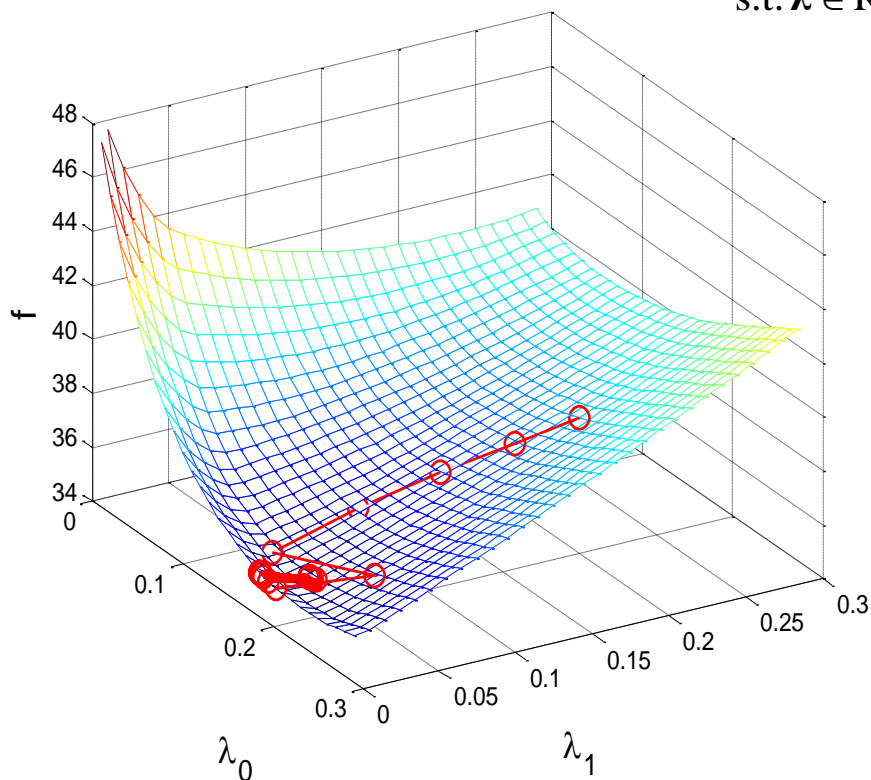


Figure: Visualization of the subgradient method

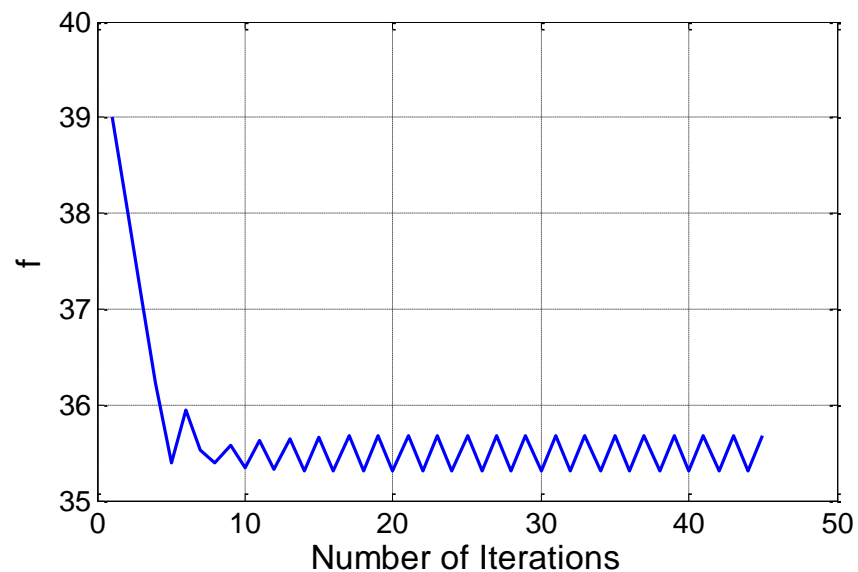
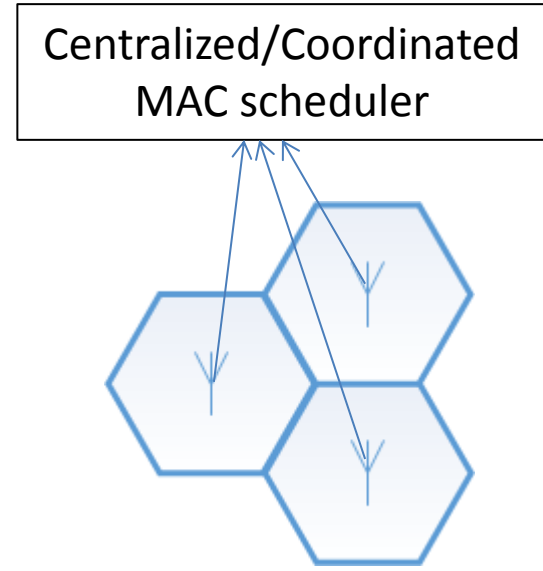
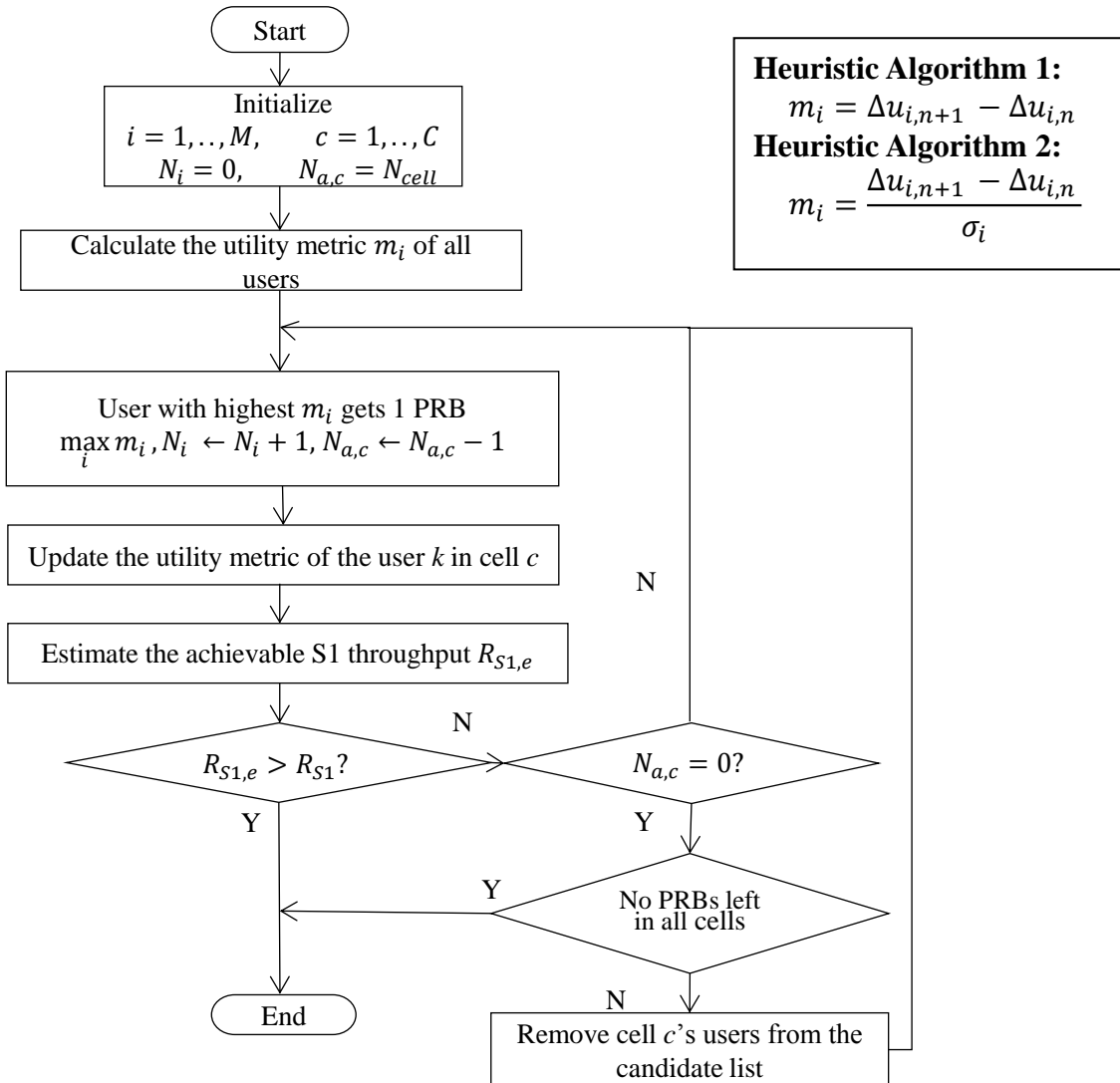


Figure: Visualization of convergency

# Radio scheduler heuristics



$i, c$	User index; Cell index
$M; C$	Number of users; Number of cells
$N_i$	Number of PRBs allocated to user $i$
$N_{a,c}$	Total available PRBs in the cell $c$ after HARQ (Hybrid Automatic Repeat Request)
$N_{cell}$	Number of PRBs in the cell
$m_i$	QoE metric of user $i$
$u_i$	QoE of user $i$
$\Delta u_{i,n}$	Marginal QoE of user $i$ with $n$ PRBs
$\sigma_i$	Channel quality indicator of user $i$
$R_{S1}$	Transport network capacity in Mbps
$R_{S1,e}$	Estimated achievable throughput on $S_1$

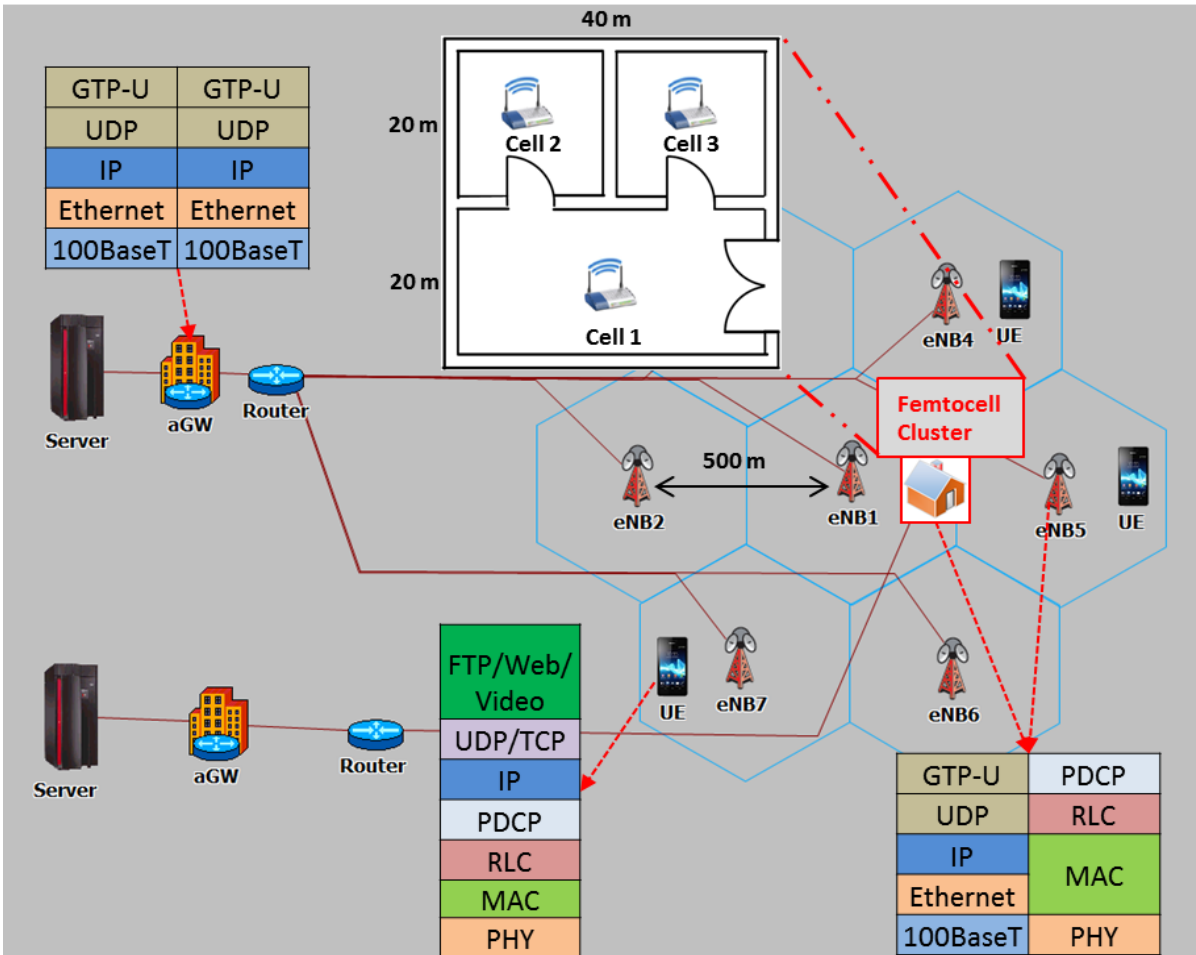
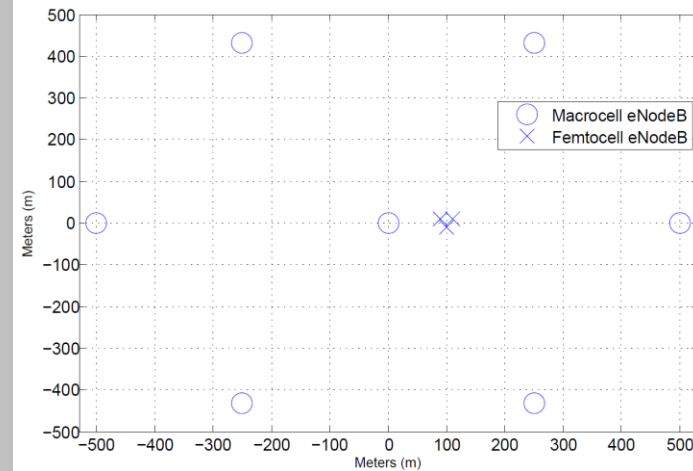
Figure: The algorithm of the proposed heuristic

# Simulation tool and scenario

Use **OPNET** discrete event simulation software (v17.5)

Includes modeling of

- E-UTRAN and EPC entities
- Full protocol stack including MAC, RLC, PDCP, GTP etc.
- Mobility models
- IP DiffServ



# Simulation settings

Parameter	Settings
Macro eNBs (cells) settings (fully loaded)	7 eNBs with hexagonal coverage, 500ms inter-eNB distance (center eNB located at the original point (0m, 0m)) Path loss: $130.5 + 37.6 \log_{10}(R)$ , R in Km [5] Slow fading: Correlated Log normal, zero mean, 8db std. and 50 m correlation distance Small scale fading: 3GPP Pedestrian A Transmission power: 23dBm per PRB
Femtocell cluster settings	Building size with 40mx40m, center coordinate: (200m,0m) 3 femtocell station coordinates: (210m, -10m), (190m, 10m), (210m,10m) Penetration loss (interference from macro eNBs) over the wall: 12dB mean with 8dB std. Path loss: $41.1 + 16.9 * \log_{10}(R)$ , R in Km [5] Small scale fading: 3GPP Pedestrian A Transmission power: 0dBm per PRB
TCP version	New Reno with 64Kbytes receiver buffer size
Traffic types	VoIP: GSM EFR, codec rate 12.2 kbps Video Streaming: TCP based full buffer streaming HTTP: 2MB page size, Inter arrival time: exp. distributed with mean: 50s FTP: 10MB file size, Inter arrival time: exp. distributed with mean: 50s
Mobility model	5Km/h, Random waypoint
aGW shaper	Token Bucket algorithm, maximum token bucket size: 64KB
Transport limitation	16Mbps or 6 Mbps (aGW->femtocell cluster); 1Mbytes buffer size
Number of PRBs	25 PRBs (5MHz spectrum at 2.6 GHz)
Simulation time	1000s (5 runs with different seeds) with warm up period of 300s

# QoE functions

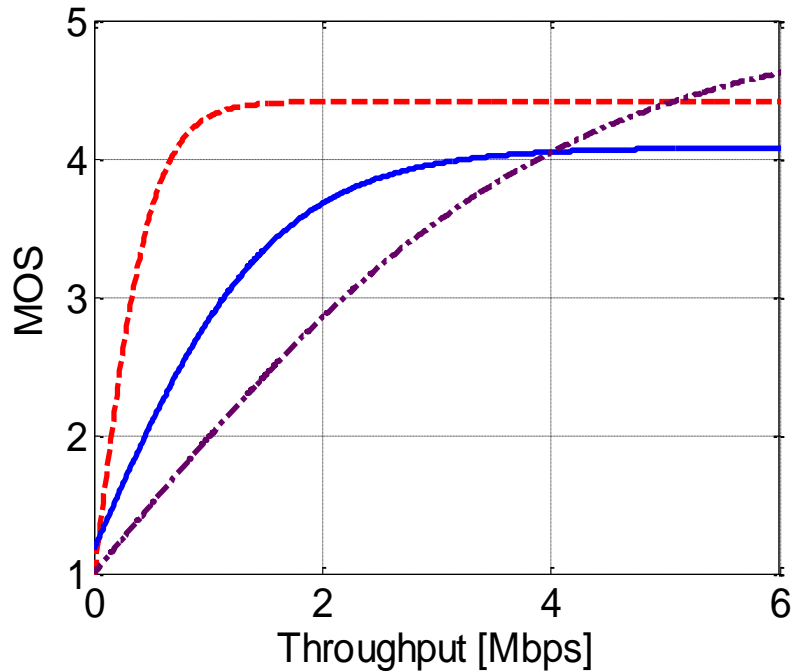


Fig. QoE functions used in the simulation [1][3]

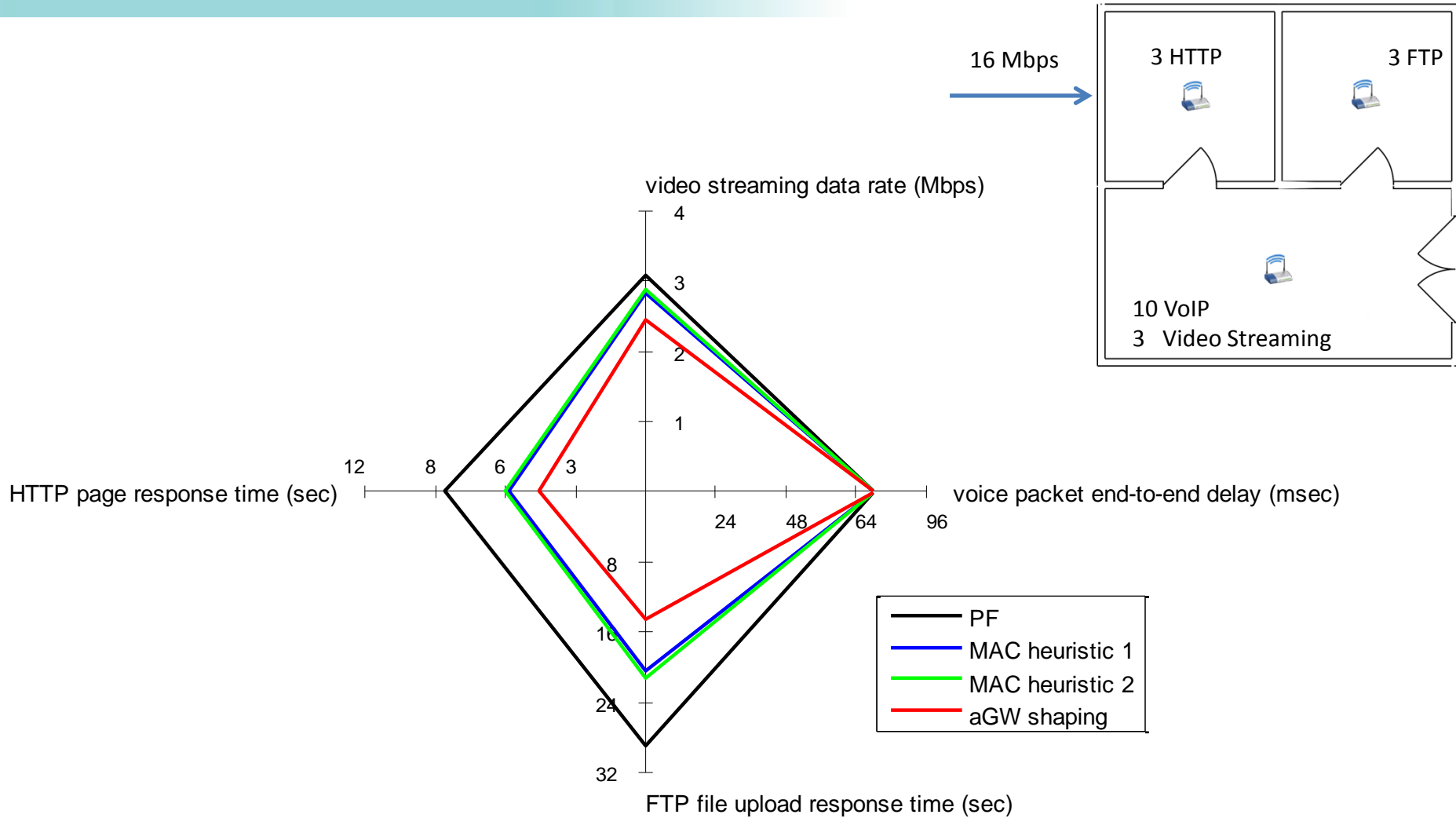
$$u = \frac{A}{1 + e^{-\alpha r}} + B$$

	A	B	$\alpha$
Video	6.954	-2.542	4.104
Web	5.815	-1.735	1.294
FTP	8	-3	0.5

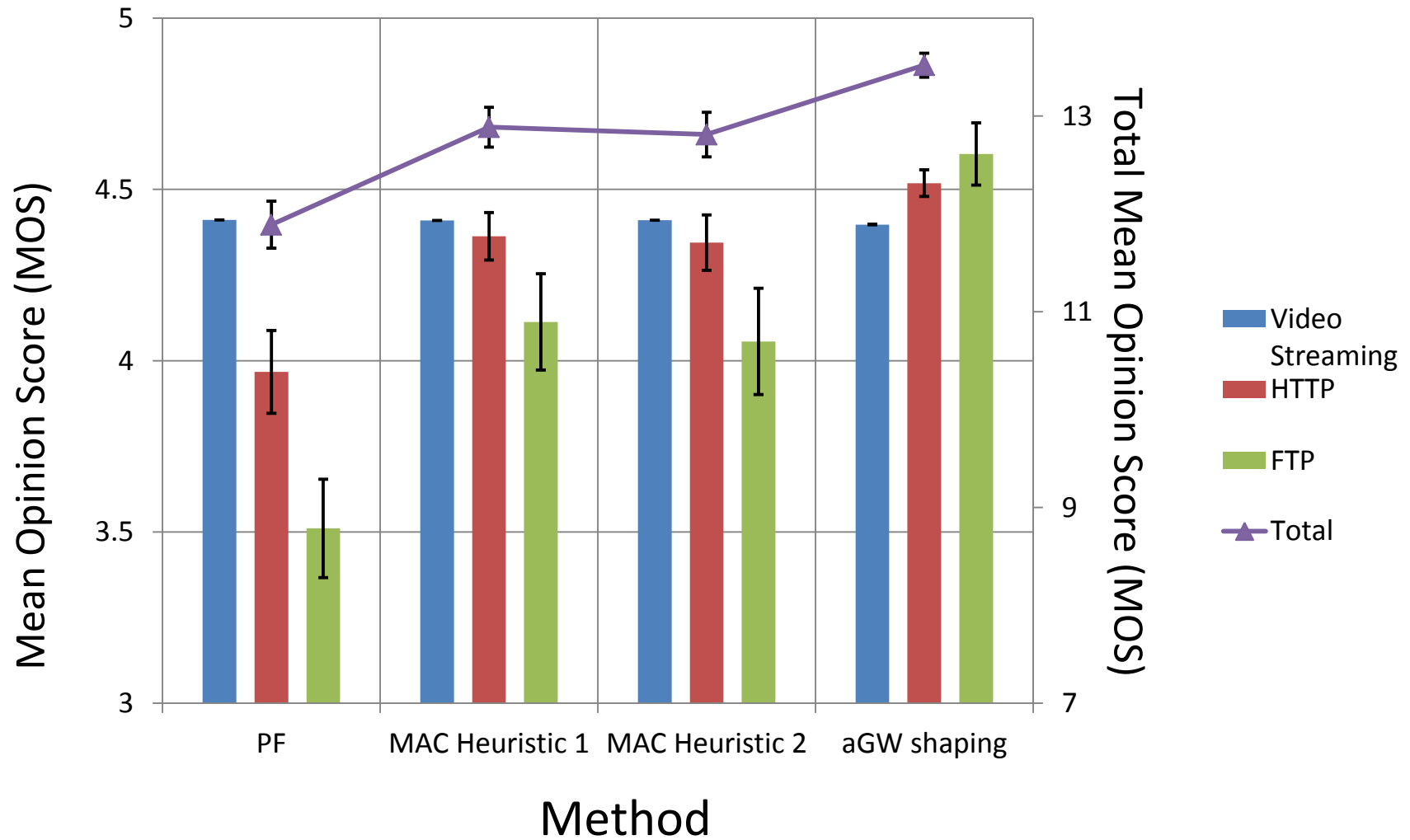
Table: Parameters for QoE Functions



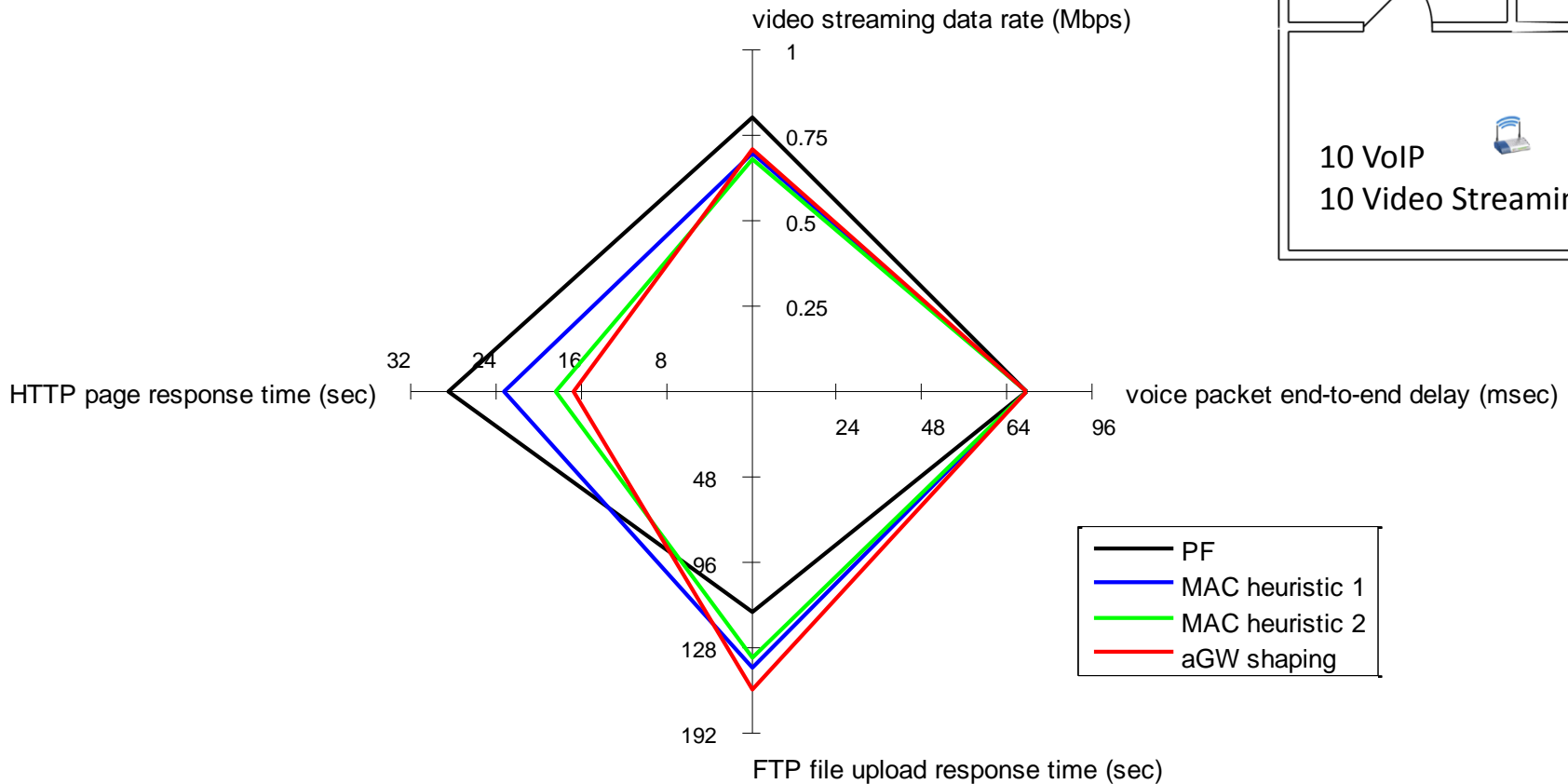
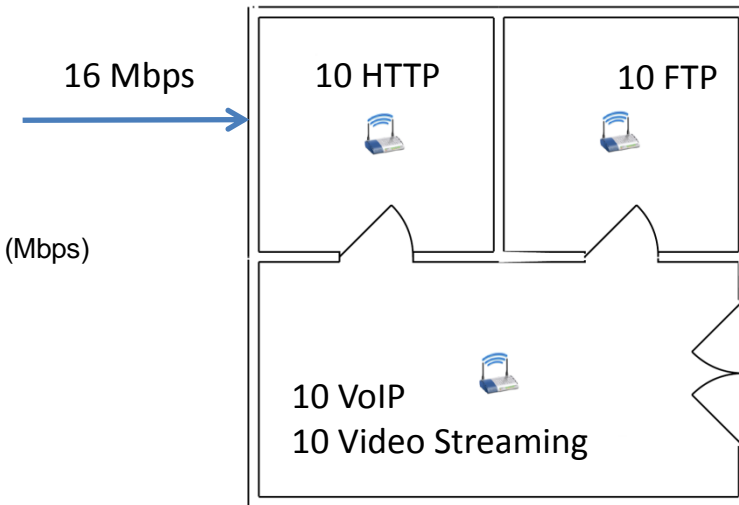
# Scenario 1 - Low Load



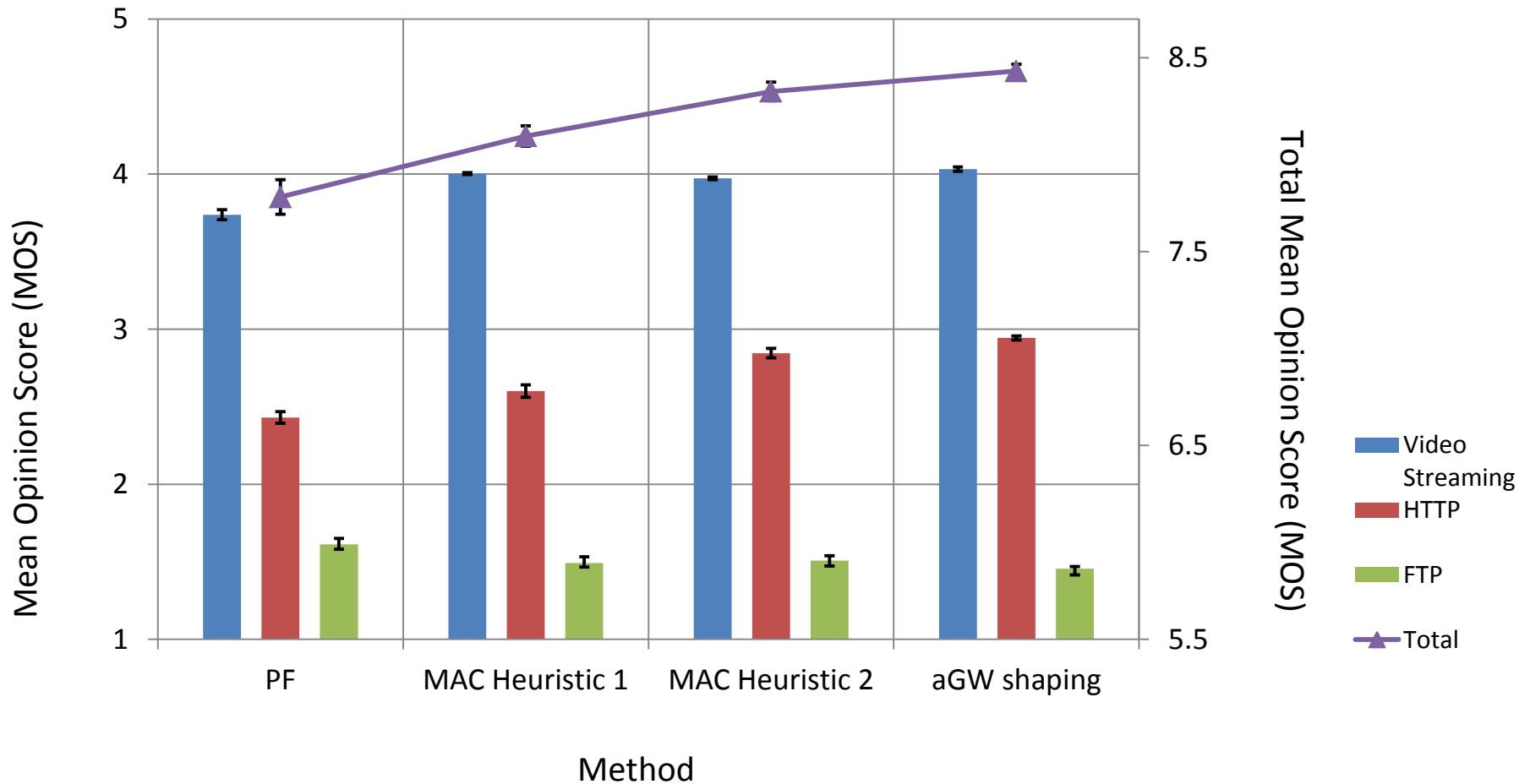
# Scenario 1 - Low Load



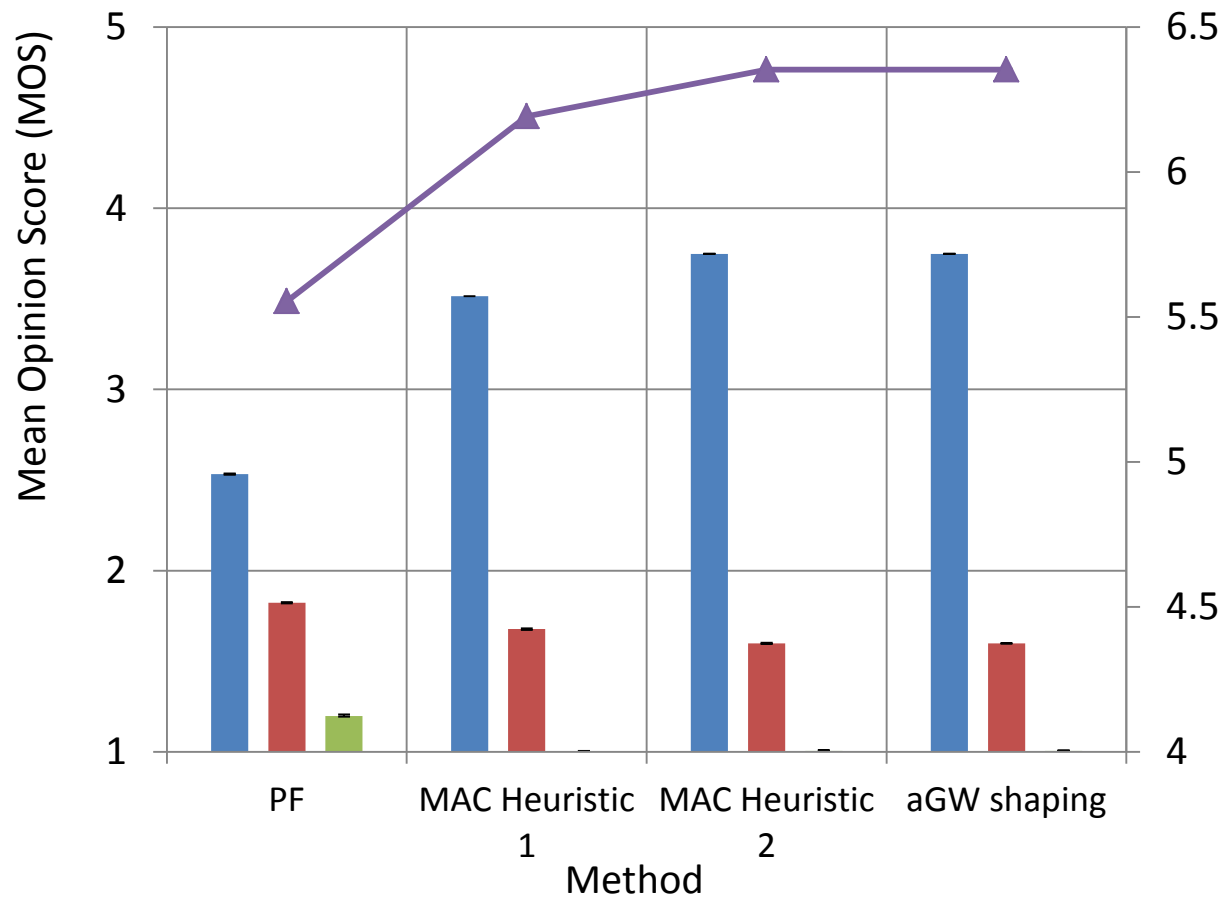
# Scenario 2 – High Load



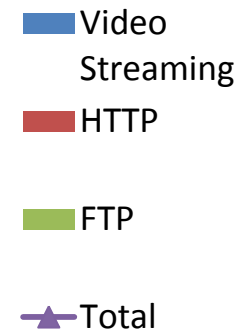
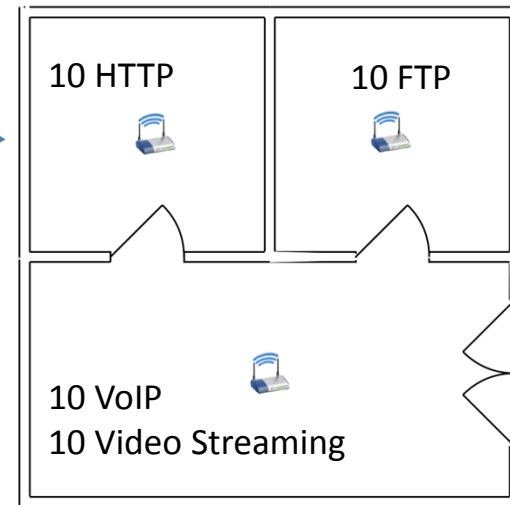
# Scenario 2 – High Load



# Scenario 3 – Very High Load



6 Mbps



# Summary

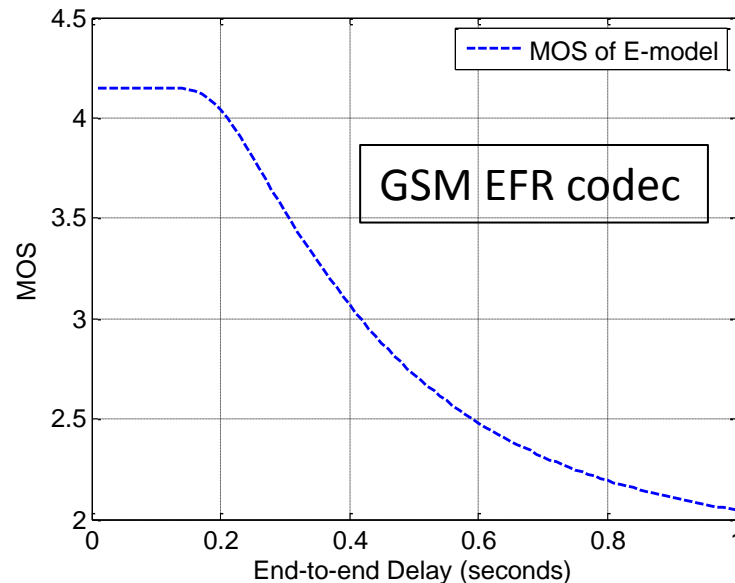
- A utility based resource allocation framework for LTE is proposed.
- The problem is formulated as a convex optimization problem and analytically solved using Lagrangian decomposition method.
- The approach is implemented in the simulator. The performance of utility based approach is compared against PF scheduler.

<b>Case</b>	<b>aGW traffic shaping</b>	<b>Radio scheduler</b>
Both S1 and some cells are bottleneck	Lagrangian relaxation Solved by projected subgradient method	Two heuristics (Centralized/Coordinated MAC scheduler)
Advantages	<ol style="list-style-type: none"><li>1. Give the best performance</li><li>2. No need to modify the radio scheduler</li></ol>	<ol style="list-style-type: none"><li>1. Low computational power</li><li>2. Good performance, heuristic 2 is better than 1 in high load scenarios</li></ol>
Disadvantages	<ol style="list-style-type: none"><li>1. Signallings between eNB and aGW</li><li>2. High computational power</li></ol>	<ol style="list-style-type: none"><li>1. Need a centralized/coordinated scheduler among the cells sharing the same transport link</li></ol>

# Ongoing Works

$$\max \left\{ U = \sum_c \sum_i w_{i,c} \cdot u_{i,c} (r_{i,c}) \right\}$$

Traffic Type	Delay sensitive traffics (Real-time )	Rate sensitive traffics (Non Real-time)
Utility Functions	$u_{i,c} (r_{i,c}) = \frac{ u'(d_{i,c}) }{\lambda_{i,c}} \cdot r_{i,c}$	$u_{i,c} (r_{i,c}) = \frac{A}{1 + e^{-\alpha_{i,c} r_{i,c}}} \cdot r_{i,c} + B$
Optimatizon Model	Linear Programming	Concave Optimatization

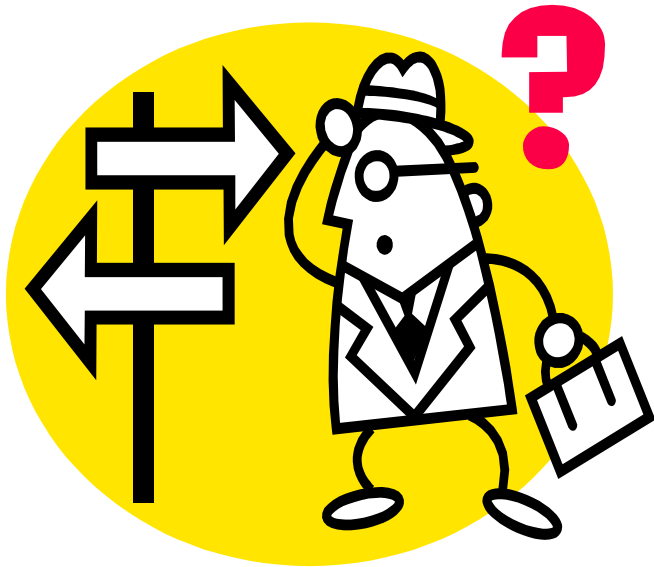


# References

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2. K. Yamagishi, T. Hayashi "Parametric Packet-Layer Model for Monitoring Video Quality of IPTV Services", ICC 2008.
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4. Singh, A.; Mahmoud, A.; Koensgen, A.; Li, X.; Görg, C.; Kus, M.; Kayralci, M. & Grigutsch, J. Pesch, D.; Timm-Giel, A.; Calvo, R.; Wenning, B.-L. & Pentikousis, K. (Eds.) Enhancing Quality of Experience (QoE) Assessment Models for Web Traffic *Mobile Networks and Management*, Springer International Publishing, 2013, 125, 202-215
5. *Guidelines for evaluation of radio interface technologies for IMT-Advanced*, ITU-T Recommendation M.2135-1, 2009.



# Thanks and any Questions?



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