

# Towards Cyber Physical Networks: Joint Design of Network and Control

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*Dresden, 10 June 2016*



*TUM Uhrenturm*

# Overview

- Motivation & Framework
- Problem statement
- Stability Analysis
- Numerical Evaluation
- Adaptive Scheduler

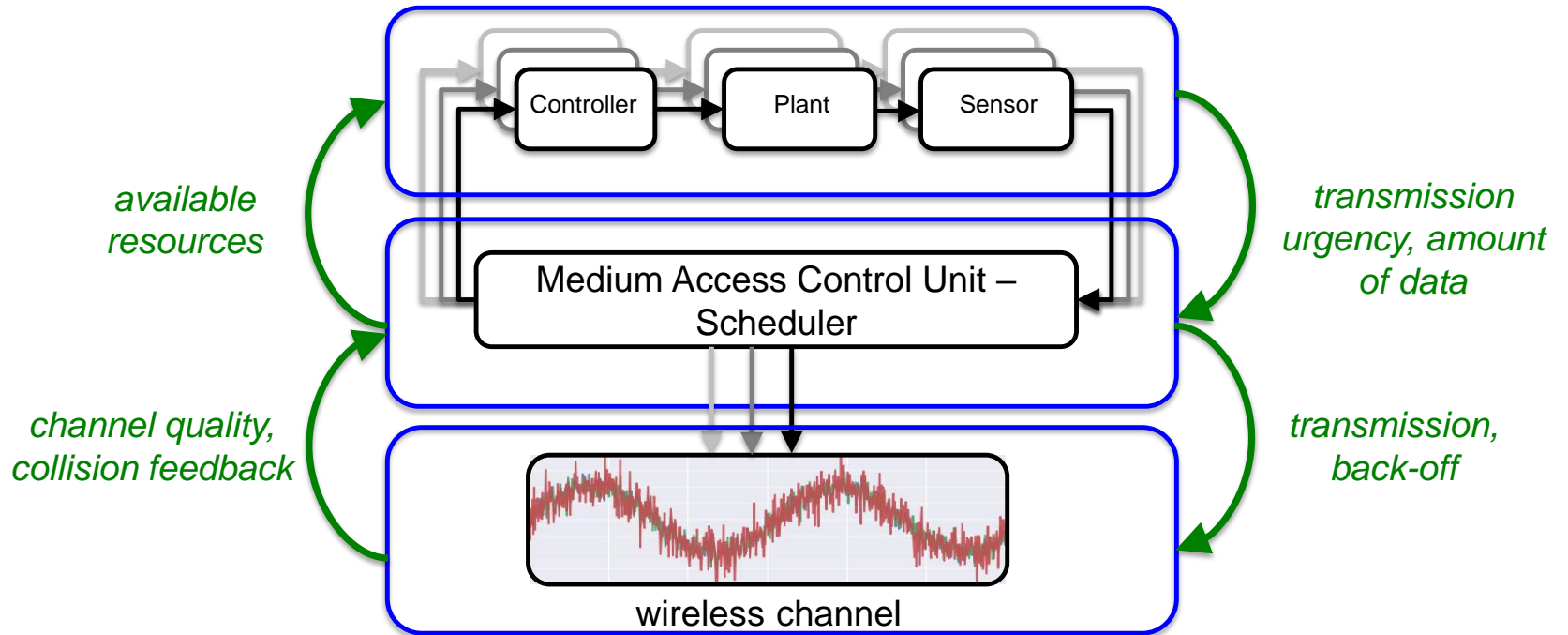
# Networked Control Systems

- Tactile Internet applications: healthcare, robotics, manufacturing, smart grid, etc., rely on Control Systems [Fet14]
- Distributed, large scale systems must be interconnected: Networked Control Systems [WTJ<sup>+</sup>11]
- Separate treatment of application and network - inefficient
- Event-triggered control paradigm [MAB<sup>+</sup>03, BHP09] → Non-deterministic transmission patterns  
→ no static resource allocation / reservation

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Idea: Exploit Control System properties for Efficient Medium Access Design

# Framework of cross-layer design



# Problem Statement: scenario

- NCS of  $N$  heterogeneous LTI control loops with the plant process:

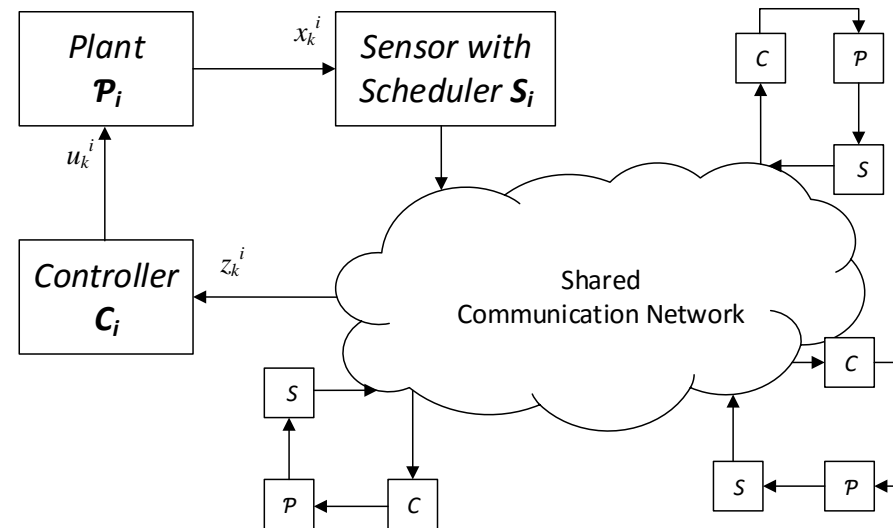
$$x_{k+1}^i = A_i x_k^i + B_i u_k^i + w_k^i$$

- Local scheduler  $S_i$  deciding:

$$\delta_k^i = \begin{cases} 1, & x_k^i \text{ sent through the channel} \\ 0, & x_k^i \text{ blocked.} \end{cases}$$

- Shared network  $\rightarrow$  sub-systems can collide:

$$\gamma_k^i = \begin{cases} 1, & x_k^i \text{ successfully received} \\ 0, & x_k^i \text{ collided.} \end{cases}$$



From this slide on: [VMKH16]

# Network Model

- Homogeneous sampling period  $k$
- **Network state** – available channels (TX opportunities)  $M_k$  per sampling period  $k$
- Multichannel Slotted ALOHA [Yue91]
- Thus, received signal at the controller side is:

$$z_k^i = \begin{cases} x_k^i, & \theta_k^i = 1 \\ \emptyset, & \text{otherwise,} \end{cases}$$

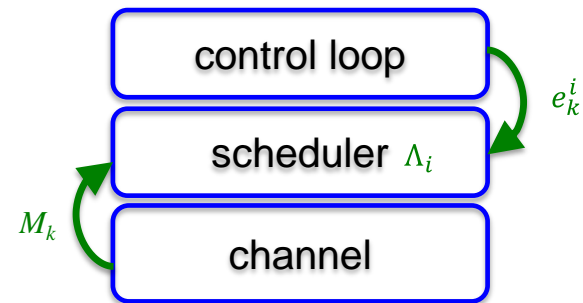
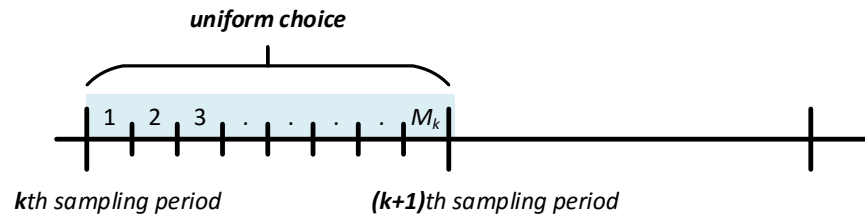
where,  $\theta_k^i = \delta_k^i \gamma_k^i$ .

- Control law:

$$u_k^i = \vartheta_k^i(Z_k^i) = -L_i \mathbb{E} [x_k^i | Z_k^i],$$

- If a transmission fails, use model-based estimator:

$$\mathbb{E} [x_k^i | Z_k^i] = (A_i - B_i L_i) \mathbb{E} [x_{k-1}^i | Z_{k-1}^i]$$



From: [VMKH16]

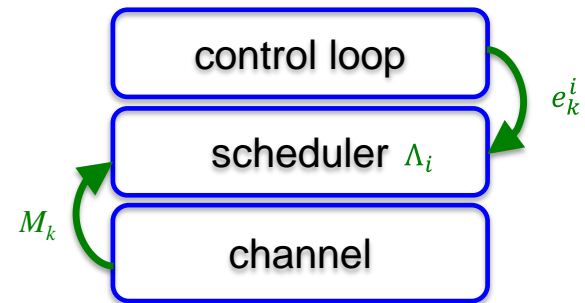
# Threshold-based Scheduler

- Network-induced estimation error  $e_k^i$ :

$$e_k^i := x_k^i - \mathbb{E}[x_k^i | Z_k^i]$$

- Estimation error follows the dynamics:

$$e_{k+1}^i = (1 - \theta_k^i) A_i e_k^i + w_k^i$$



- Local threshold-based scheduler with the policy:

$$P[\delta_{k+1}^i = 1 | e_k^i] = \begin{cases} 0, & \text{if } \|e_k^i\| \leq \Lambda_i \\ 1, & \text{otherwise.} \end{cases}$$

- At time step  $k$ , local schedulers let  $g_k$  sub-systems transmit:

$$P[\gamma_{k+1}^i = 1 | \|e_k^i\| > \Lambda_i] = \left( \frac{M_k - 1}{M_k} \right)^{g_k}$$

From: [VMKH16]

# Stability Analysis

- Noise with unsupported distribution  $\rightarrow$  stochastic stability notions

**LSP:**

given  $\xi, \xi' > 0$ :  $\lim_{k \rightarrow \infty} \sup \mathbf{P}[e_k^T e_k \geq \xi'] \leq \xi$

## Proof outline

- Aggregated error state:  $e_k = [e_k^{1T}, \dots, e_k^{NT}]^T$
- Stability condition: at least one successful transmission
- Probability of all sub-systems to collide:

$$P_{fail}^k \leq \frac{M^N + \sum_{j=1}^{\min(N,M)} (-1)^j \cdot j! \binom{M}{j} \binom{N}{j} (M-j)^{N-j}}{M^N}$$

- Adjust  $M$  according to  $N$  to guarantee stability

From: [VMKH16]



# Performance Evaluation: Metrics

- NCS performance: *average error variance*

$$\Sigma = \frac{1}{N} \sum_{i=1}^N \text{var}[e_k^i]$$

- Network performance:
  - Average channel utilization (*throughput*):

$$T = \text{E} \left[ \frac{n_p^s}{M} \right]$$

- Average *collision ratio*:

$$r_{coll} = \text{E} \left[ \frac{n_p^c}{n_p^c + n_p^s} \right]$$

- For the following: global transmission threshold:  $\Lambda_i := \Lambda', \quad \forall i \in N$
- Evaluation performed using numerical simulation\*

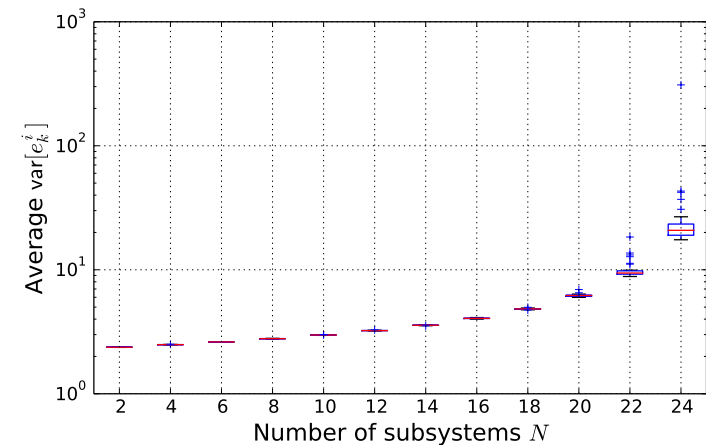
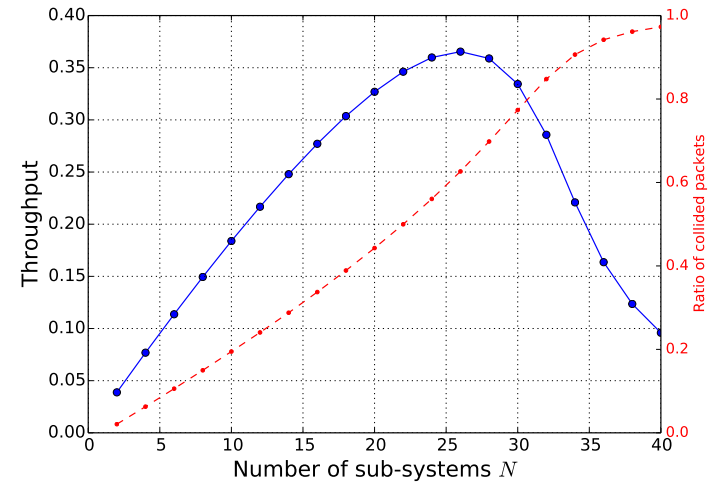
From: [VMKH16]

\*Source code & repeatability instructions available: <https://github.com/mvilgelm/AdaptiveMAC>



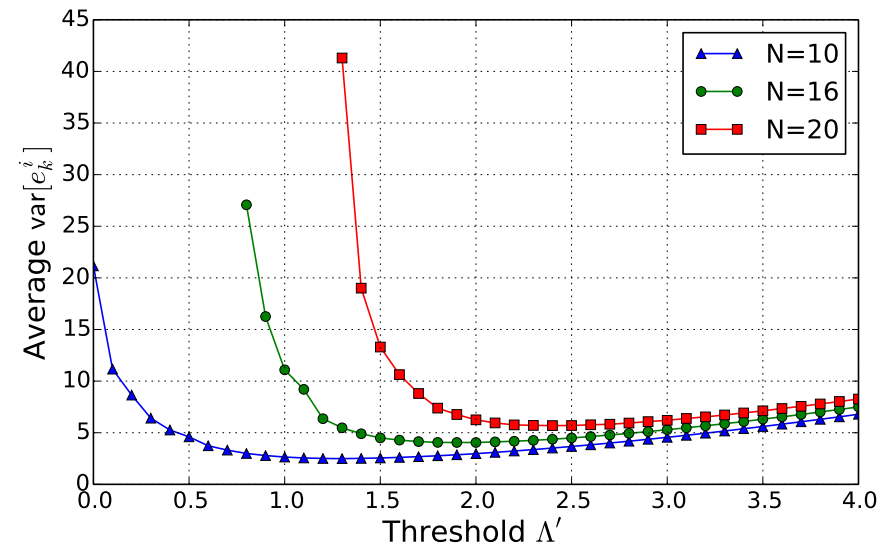
# Performance Evaluation: General

- Network performance: “classical” slotted ALOHA plot
- Exists a peak throughput
- Number of collisions grows with the number of sub-systems
- Average error variance grows with the number of sub-systems  $N$
- After the peak throughput is reached – grows exponentially due to a very high collision rate



# Performance Evaluation: Threshold

- Network and control performance are *coupled via the threshold*
- If the threshold is set too low, performance degrades drastic due to *collision*
- If the threshold is set too high, performance degrades slowly due to *underutilized network*
- Always exists a threshold (global), for which control and network performance are optimal



→ to optimally use the network, adaptive scheduling policy is required

From: [VMKH16]

# Scheduler with Threshold Adaptation

- Scenario: varying number of channels

$$M_k \in \{M_1, M_2\}, M_1 < M_2:$$

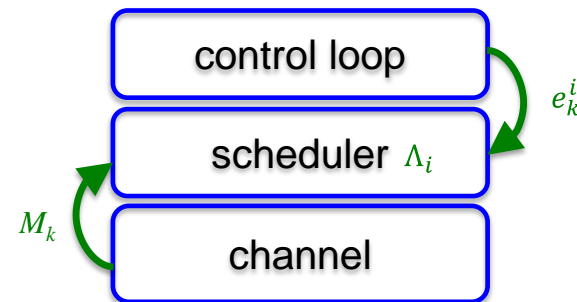
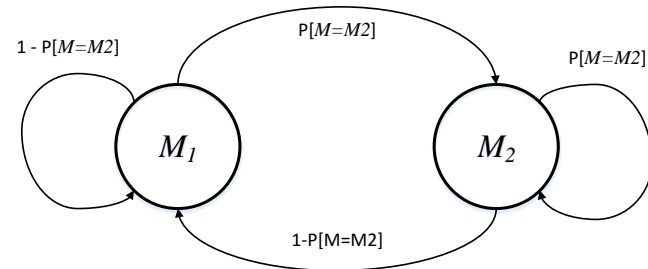
$$P[M_k = M_1] = 1 - P[M_k = M_2]$$

- Might occur in a case of dynamic resource allocation [LKY11, 3GP11]
- Adaptive scheduling policy:

$$\Lambda'_k = f(M_k)$$

- Optimal threshold for given  $N$ ,  $M$ :

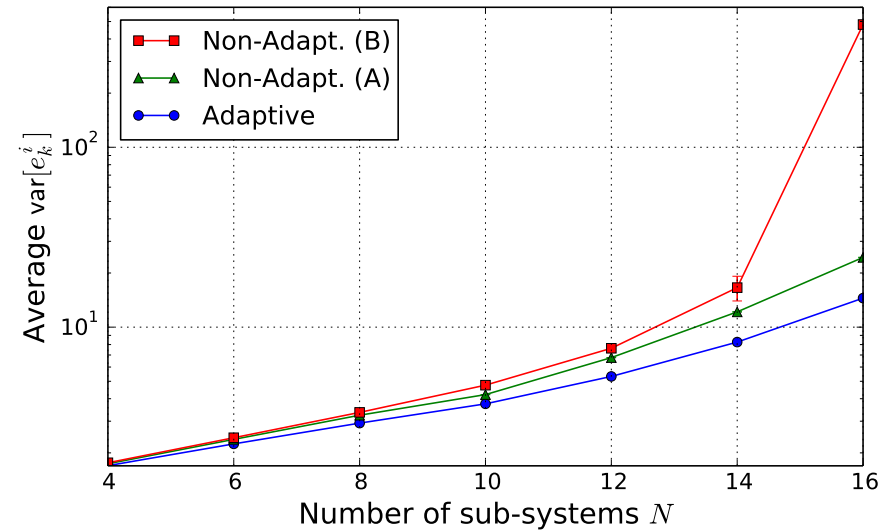
	Optimal $\Lambda'$						
$N$	4	6	8	10	12	14	16
$M = 5$	1.0	1.5	2.0	2.4	3.5	5.2	8.1
$M = 10$	0.6	0.8	1.0	1.2	1.4	1.6	1.8



From: [VMKH16]

# Scheduler with Threshold Adaptation (2)

- Comparison with non-adaptive: (A) chosen for  $M_1$  and (B) chosen for  $M_2$
- Gain from the adaptation depends on the number of sub-systems
- Gain is larger for non-adaptive targeting worse network state – scheduler (A) risks underutilization, while scheduler (B) risks high collision ratio



From: [VMKH16]

# Conclusions

## Contributions summary:

- Threshold-based scheduler & multi-channel slotted aloha
- Proved stochastic stability
- Numerically evaluated control and network performance
- Illustrated coupling of control & network via  $\Lambda'$
- Proposed adaptive scheduling policy

## Outlook

- Traffic Analysis of NCS
- Centralized Network- and Control-aware scheduler
- Design of control law based on the network knowledge

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